

Rec'd

S/N 10/075,807

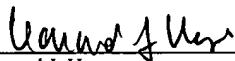
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Morcos, et al. Examiner:  
Serial No.: 10/075,807 Group Art Unit: 2173  
Filed: February 13, 2002 Docket No.: 60001.0087USC1  
Title: Method for Displaying Controls in a System Using a Graphical User Interface

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 1, 2002.

  
Leonard J. Hope

REQUEST FOR CORRECTED FILING RECEIPT **RECEIVED**

JUL 22 2002

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Technology Center 2100

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed: The Domestic Priority Data as claimed by Applicants is incorrect.

The correction should read: THIS APPLICATION IS A CON OF 08/891,945, 09/18/1997.

A copy of the Continuation Application cover sheet filed by Applicants on February 13, 2002 indicating that the priority date claimed by Applicants is September 18, 1997 is also enclosed.

Correction of the records of the United States Patent and Trademark Office and  
issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,  
MERCHANT & GOULD

*Leonard J. Hope*  
Leonard J. Hope  
Reg. No.: 44,774

April 1, 2002

Merchant & Gould, LLC  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
Telephone: 404.954.5100




**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS.
10/075,807	02/13/2002	2173	1028	60001.0087USC1	8	22	6



Attention: Leonard J. Hope  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

**CONFIRMATION NO. 1075**
**FILING RECEIPT**


\*OC000000007602917\*

**RECEIVED**
**JUL 22 2002**

Date Mailed: 03/08/2002

**Technology Center 2100**

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Peter G. Morcos, Redmond, WA;  
Ravindra K. Agrawal, Redmond, WA;  
Lawrence W. Baldwin, Seattle, WA;  
Tjeerd S. Hoek, Kirkland, WA;  
David C. Parker, Woodinville, WA;

**Assignment For Published Patent Application**

Microsoft Corporation, Redmond, WA;

09-18-1997

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CON OF 08/891,945 07/14/1997 \*

(\* Data inconsistent with PTO records.

**Foreign Applications**

If Required, Foreign Filing License Granted 03/07/2002

Projected Publication Date: 06/13/2002

Non-Publication Request: No

Early Publication Request: No

**Title**

Method for displaying controls in a system using a graphical user interface

**Preliminary Class**

345

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

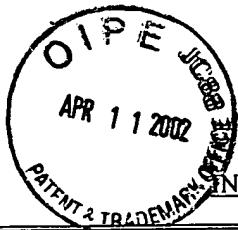
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
60001.0087USC1	345	810.000	C. Nguyen	2173

**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: EV 057347044US  
Date of Deposit: February 13, 2002

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, D.C. 20231.

By: Leonard J. Hope  
Name: Leonard J. Hope

CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is a request for filing a continuation application under 37 CFR § 1.53(b) of Serial No. 08/891,945, filed on September 18, 1997 entitled Method for Displaying Controls in a System Using a Graphical User Interface by the following inventor(s):

Full Name <b>Of Inventor</b>	Family Name Morcos	First Given Name Peter	Second Given Name G.
Residence & Citizenship	City Redmond	State or Foreign Country Washington	Country of Citizenship USA
Post Office Address	Post Office Address 17631 N.E. 30th Place	City Redmond	State & Zip Code/Country WA 98052
Full Name <b>Of Inventor</b>	Family Name Agrawal	First Given Name Ravindra	Second Given Name K.
Residence & Citizenship	City Redmond	State or Foreign Country Washington	Country of Citizenship India
Post Office Address	Post Office Address 11019 172nd Place, N.E.	City Redmond	State & Zip Code/Country WA 98052
Full Name <b>Of Inventor</b>	Family Name Hoek	First Given Name Tjeerd	Second Given Name S.
Residence & Citizenship	City Kirkland	State or Foreign Country Washington	Country of Citizenship The Netherlands
Post Office Address	Post Office Address 6752 Lake Washington Bldv., N.E., Apt. B11	City Kirkland	State & Zip Code/Country WA 98033
Full Name <b>Of Inventor</b>	Family Name Parker	First Given Name David	Second Given Name C.
Residence & Citizenship	City Woodinville	State or Foreign Country Washington	Country of Citizenship USA
Post Office Address	Post Office Address 16027 223rd Avenue, N.E.	City Woodinville	State & Zip Code/Country Washington 98072
Full Name <b>Of Inventor</b>	Family Name Baldwin	First Given Name Lawrence	Second Given Name Wahhab
Residence & Citizenship	City Seattle	State or Foreign Country Washington	Country of Citizenship USA
Post Office Address	Post Office Address 920 North 34th Street, Apt. 31	City Seattle	State & Zip Code/Country WA 98103

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Technology Center 2100

1.  Enclosed is a copy of the prior application; including the specification, claims, drawings, oath or declaration showing the applicant's signature, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The continuing application is as follows: 33 pages of specification, 29 claims, 1 pages of abstract, 10 sheets of drawings, and 5 pages of oath or declaration.
  
- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
  
2.  Cancel original claims 15-21 of this application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
  
3.  The filing fee is calculated below:

**CLAIMS AS FILED**

NUMBER FILED	NUMBER EXTRA		RATE	FEE
<b>TOTAL CLAIMS:</b> 22	-20	2	x \$18.00	36.00
<b>INDEPENDENT CLAIMS</b> 5	-3	2	x \$80.00	160.00
<b>BASIC FILING FEE:</b>				\$740.00
<b>TOTAL FILING FEE:</b>				936.00

4.  Payment of fees:
  - Attached is a check in the amount of 936.00
  - Please charge Deposit Account No. 13-2725.
  
5.  The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.
  
6.  Amend the specification by inserting before the first line the sentence:
 

"This application is a continuation of application Serial No. 08/891,945, filed September 18, 1997, which is expressly incorporated herein by reference."
  
7.  The prior application is assigned of record to Microsoft Corporation located at One Microsoft Way, Redmond, WA 98052.
  
8.  The Power of Attorney in the prior application is to: Merchant & Gould
  
9.  A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
  
- Fee for excess claims is attached.



UNITED STATES PATENT AND TRADEMARK OFFICE

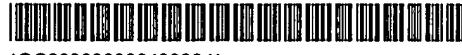
2113  
2100  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

FILE COPY

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/075,807	02/13/2002	Peter G. Morcos	60001.0087USC1

CONFIRMATION NO. 1075

Attention: Leonard J. Hope  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903



\*OC00000008439334\*

Date Mailed: 07/11/2002

**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

**Claims, Fees, and Inventors**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

- The inventor's residence allows for up to 40 characters (letters and spaces combined).
- The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
  - A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
  - Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- The docket number allows a maximum of 25 characters.
- The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.

Nancy Haropus  
Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202